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Attorney's Docket No.: 12221-006001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Edward W. Kohler, Jr., et al. Art Unit : Unknown
Serial No. : 09/931,487 Examiner : Unknown
Filed : August 16, 2001
Title : THWARTING SOURCE ADDRESS SPOOFING-BASED DENIAL OF
SERVICE ATTACKS

2155

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

1/18/2002

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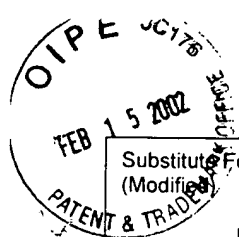
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January 18, 2002

Marie Collins

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Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
12221-006001Application No.
09/931,487**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Edward W. Kohler, Jr., et al.Filing Date
August 16, 2001

Group Art Unit

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,991,881	11-23-99	Conklin et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
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Technology Center 2100**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	Dittrich et al., "The 'Mstream' Distributed Denial Of Service Attack Tool", http://staff.washington.edu/dittrich/misc/mistream.analysis.txt , May 2000, pps. 1-29.
	AR	Schuba et al., "Analysis of a Denial of Service Attack on TCP", IEEE, November 1997, pps. 208-223.
	AS	
	AT	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.